

# Compliance for Healthcare Organizations



United Computer Group and VAULT400 are the data protection experts, trusted by healthcare organizations of all sizes. Since 1987, we have delivered easy-to-use solutions that keep data secure and immediately recoverable while controlling costs. Our disk-to-disk backup and recovery technology, coupled with consulting and educational services, provides you with data protection that helps assure compliance with the Health Insurance Portability and Accountability Act (HIPAA).

## High performance backup and recovery

The increasing complexity of your IT environment, especially the hyper-growth of data and applications, makes it essential that your backup and recovery system is at once high-performing, easy to configure, and easy to use. VAULT400 provides that in a unified solution that integrates with your systems seamlessly and scales readily as you grow. VAULT400 backs up your core systems, networked environments, and mobile devices wherever they are, and no matter how complex.

## Secure processes

VAULT400 is SAS 70 certified. An annual audit and resulting report certifies our adherence to industry standards for physical security and internal controls for safeguarding data. If you back up to one of two data centers, you can provide documentation about this to an auditor or examiner.

## HIPAA Compliance using VAULT400

To comply with HIPAA, your organization must securely back up data, retain disclosures, provide physical and technical safeguards, and ensure disaster recovery. VAULT400's data protection platform simplifies this, with uncompromising performance, brilliant simplicity and a comprehensive breadth of application and platform support.

That's why over 35,000 companies worldwide trust VAULT400-based technology.

### Key Benefits

- Easy to administer
- Uncompromising performance
- Comprehensive coverage
- HIPAA compliance

### Products and Services

VAULT400 Software

VAULT400 SaaS

VAULT400 Managed Service

VAULT400 ProMail

Replication | Many to One

Replication | One to One

Disaster Recovery Testing

Operational Assessments

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## HIPAA Implications for Data Retentions Addressed

Policies and Procedures	Offsite Storage	Data Availability	Security and Privacy	Retentions
Documented backup and recovery plan	Patent-pending delta-processing technology enables high performance WAN/LAN backup and recovery and optimizes storage	Immediate file and folder-level recovery	NIST-certified AES Encryption End-to-End	Policy-based automated retentions you set based on your needs
Emergency contingency planning	Disaster Recovery testing	File search to support regulatory audits	SAS 70 certified	File level archiving
Log reports		Disaster recovery strategies and specialized services	Disk-based storage—no tape-based risk	Email and IM backup and archiving

## HIPAA Compliance Checklist

<p><b>Rule on Security Standards:</b>  <b>Administrative Safeguards</b>  <i>Data Backup and Disaster Recovery</i>                      Covered entities are responsible for backing up their data and establishing disaster recovery procedures. A contingency plan should be in place for responding to emergencies.</p>	<p>✔ VAULT400 Software and SaaS provide for secure, reliable online data protection. Tape-based risk is eliminated. You never lose your data. VAULT400 Professional Services consultants help you develop a data protection strategy that assures you meet compliance requirements. This information is documented and delivered in a comprehensive data protection plan.</p>
<p><b>Privacy Rule:</b>  <i>Retention of Disclosures</i>                      Covered healthcare entities must keep track of disclosures of Protected Health Information (PHI) for a period of six years. This includes any part of a patient's medical record or payment history.</p>	<p>✔ VAULT400 ArcWare is a policy-based archiving solution that lets you move older files off production servers and store them in a Vault. Tiered storage strategies let you optimize production space.™ VAULT400 ProMail ensures your important electronic messages are safe and accessible. It captures, monitors, and archives email and instant messages up to seven years, and makes it easy to satisfy your retention policies.</p>
<p><b>Rule on Security Standards:</b>  <b>Administrative Safeguards</b>  <i>Access to Records</i>                      Access to Electronic Protected Health Information (E PHI ) must be restricted to only those employees who have a need for it to complete their job function.</p>	<p>✔ VAULT400's technology includes encryption in storage, as well as during transmission. Original versions of documents, once backed up, cannot be accessed except by authorized personnel. Data is encrypted before it leaves the firewall and remains that way. Not even VAULT400 can access your information.</p>
<p><b>Rule on Security Standards:</b>  <b>Administrative Safeguards</b>  <i>Outsourcing</i>                      Covered entities that outsource some of their business processes to a third party must ensure that their vendors also have a framework in place to comply with HIPAA requirements.</p>	<p>✔ VAULT400 is SAS 70 certified.                      An annual audit and resulting report certifies our adherence to industry standards for physical security and internal controls for safeguarding data. We can provide documentation about this should your organization be audited or examined.</p>
<p><b>Rule on Security Standards:</b>  <b>Physical Safeguards</b>                      Access to equipment containing health information should be carefully controlled and monitored, based on facility security plans, maintenance records, and visitor sign-in and escorts.</p>	<p>✔ VAULT400 Data Centers are SAS 70 certified.                      At our offsite data centers, security and availability of organization's data meet the highest industry standards. Access is strictly limited and enforced.</p>
<p><b>Rule on Security Standards:</b>  <b>Technical Safeguards</b>                      When information flows over open networks, some form of encryption must be utilized.</p>	<p>✔ VAULT400 AES encryption technology is NIST certified. It meets or exceeds all regulatory requirements including HIPAA.</p>

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